FILED

### EFFECTIVE AS A FINAL ORDER

DATE: 8.24.21

AUG 0 5 2021

KS State Board of Healing Arts

#### BEFORE THE BOARD OF HEALING ARTS OF THE STATE OF KANSAS

In the Matter of

Corrissa D. Shaw, P.T.A. Kansas Certificate No. 14-02891 Docket No. 22-HA OOOOS

#### SUMMARY ORDER

NOW ON THIS \_\_\_\_\_\_ day of \_\_\_\_\_\_\_ 2021, this matter comes before Tucker L. Poling, Executive Director, Kansas State Board of Healing Arts ("Board"), in summary proceedings pursuant to K.S.A. 77-537.

Pursuant to K.S.A 77-537 and K.S.A. 77-542, this Summary Order shall become effective as a Final Order, without further notice, if no written request for a hearing is made within 15 days of service. Upon review of the agency record and being duly advised in the premises, the following findings of fact, conclusions of law, and order are made for and on behalf of the Board:

#### **Findings of Fact**

- 1. Corrissa D. Shaw, P.T.A. ("Licensee") was issued Certificate No. 14-02891 to practice as a physical therapy assistant in Kansas on or about July 21, 2015. Her current license designation is Cancelled Failure to Renew, having let her license expire for non-renewal on December 31, 2020.
  - Licensee's last mailing address known to the Board is: CONFIDENTIAL
     CONFIDENTIAL
     Licensee's last email address known to the Board is:

- 3. Licensee held an Active license to practice as a physical therapy assistant at all times pertinent to the facts underlying this Summary Order.
  - 4. The factual basis for this Order is as follows:
    - a. In April 2020, Licensee was employed as a physical therapy assistant by Encore Rehabilitation, a contract provider for physical therapy.
    - b. Pursuant to her employment, Licensee was assigned to treat patients as a physical therapy assistant at McPherson Health and Rehab, a skilled nursing facility located in McPherson, Kansas.
    - c. Encore Rehabilitation initiated an investigation into Licensee's practice upon receiving reports that several of the patients to which Licensee was assigned had not received the therapy Licensee documented in the patient records and for which Licensee had submitted billing claims. (Bd. Ex. 1)
    - d. The investigation found that on the dates April 7, 2020, April 9, 2020, April 11, 2020, and April 14, 2020, partial and full services were not rendered as billed by Licensee. Specific findings include:
      - i. A review of the Daily Labor and Activity Reports, User Activity Reports, documentation in patient records, Care Provider Schedule, and the April Service Log Matrix determined Licensee had documented overlapping treatment times for multiple patients on two occasions, meaning the amount of labor minutes documented

- by Licensee would have been insufficient time to deliver the treatments Licensee had documented she performed. (*Id.*)
- ii. Based upon the above, management requested Licensee adjust the treatment and labor records to reflect the actual time and labor Licensee spent with patients. Licensee had originally billed 380 treatment minutes with 409 minutes of labor. After Licensee adjusted her billing, it showed 289 labor minutes, which was an insufficient time to deliver 380 minutes of treatments. (*Id.*)
- iii. When interviewed by her employers, Licensee admitted knowingly submitting incorrect billing for at least five patients on at least six occasions. (*Id.*)
- e. As a result of the investigation, Licensee was terminated from her employment on April 15, 2020. (*Id.*)
- f. Licensee's employer was required to adjust the bills of at least eight patients Licensee had purported to treat. (*Id.*)
- g. During the course of the Board's investigation of this complaint, Licensee was asked for a complete written narrative statement in response to the specific allegations Licensee billed for services that were not rendered to patients at McPherson Health and Rehab on April 7, 2020, April 9, 2020, April 11, 2020, and April 14, 2020. The Board noted that such a written response from the Licensee was mandatory. The request was sent via the United States Postal Service to the

address provided to the Board on May 29, 2020 and July 13, 2020; via email at the address provided to the Board on July 2, 2020 and September 3, 2020; and via certified mail on September 9, 2020. (Bd. Ex. 2)

- h. Licensee did not reply to any of the written requests, nor did she provide the mandatory written response.
- i. On February 1, 2021, investigators attempted to contact Licensee at the phone number provided to the Board by Licensee, but the line had been disconnected.

#### **Applicable Law**

- 5. Per K.S.A. 65-2912(a)(9) of the Kansas Physical Therapy Practice Act, the Board may suspend, revoke, or limit a license or otherwise discipline a licensee where the licensee "knowingly submit[s] any misleading, deceptive, untrue or fraudulent misrepresentation on a claim form, bill or statement."
- 6. Per K.S.A. 65-2912(a)(5) of the Kansas Physical Therapy Practice Act, the Board may suspend, revoke, or limit a license or otherwise discipline a licensee where a Licensee has committed "unprofessional conduct as defined by rules and regulations adopted by the board." Definitions of "unprofessional conduct" applicable to this matter include:
  - a. K.A.R. 100-29-12(a)(7): "failing to furnish to the board, its investigators, or representatives any information legally requested by the board";

- b. K.A.R. 100-29-12(a)(8): "being sanctioned or disciplined by a peer review committee or medical care facility for acts or conduct that would constitute unprofessional conduct under this regulation";
- c. K.A.R. 100-29-12(a)(18): "committing conduct likely to deceive, defraud, or harm the public";
- d. K.A.R. 100-29-12(a)(21): "obtaining any fee by fraud, deceit, or misrepresentation";
- e. K.A.R. 100-29-12(a)(22): "failing to maintain adequate written records detailing the course of treatment of the patient or client";
- f. K.A.R. 100-29-12(a)(26): "violating any regulations adopted by the board relating to the practice of physical therapy"; and
- g. K.A.R. 100-29-12(a)(27): "directly or indirectly giving or receiving any fee, commission, rebate, or other compensation for professional services not actually and personally rendered, other than through the legal functioning of a professional partnership, professional corporation, limited liability company, or similar business entity."

#### Conclusions of Law

7. The Board has jurisdiction over Licensee as well as the subject matter of this proceeding, and such proceeding is held in the public interest.

8. The Board finds Licensee violated K.S.A. 65-2912(a)(9) of the Kansas Physical

Therapy Practice Act by knowingly submitting any misleading, deceptive, untrue or fraudulent

misrepresentation on a claim form, bill or statement.

9. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12, by committing an act of

unprofessional conduct: specifically, failing to furnish to the Board, its investigators, or

representatives information legally requested by the Board;

10. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(8), by committing an act of

unprofessional conduct: specifically, being sanctioned or disciplined by a peer review committee

or medical care facility for acts or conduct that would constitute unprofessional conduct under this

regulation, when Licensee's employment was terminated for submitting inaccurate patient

treatment charts and billing claims, a violation of the Kansas Physical Therapist Practice Act.

11. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(18), by committing an act of

unprofessional conduct: specifically, committing conduct likely to deceive, defraud, or harm the

public in submitting inaccurate patient treatment charts and billing claims.

12. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(21), by committing an act of

unprofessional conduct: specifically, obtaining any fee by fraud, deceit, or misrepresentation.

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Certificate No. 14-02891

13. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(22), by committing an act of

unprofessional conduct: specifically, failing to maintain adequate written records detailing the

course of treatment of the patient or client by maintaining inaccurate or misleading patient records.

14. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(26), by committing an act of

unprofessional conduct: specifically, violating any regulations adopted by the board relating to the

practice of physical therapy.

15. The Board finds Licensee violated K.S.A. 65-2912(a)(5) of the Kansas Physical

Therapy Practice Act, as further defined by K.A.R. 100-29-12(a)(27), by committing an act of

unprofessional conduct: specifically, directly or indirectly giving or receiving any fee,

commission, rebate, or other compensation for professional services not actually and personally

rendered, other than through the legal functioning of a professional partnership, professional

corporation, limited liability company, or similar business entity.

16. Based on the facts and circumstances set forth herein, the use of summary

proceedings in this matter is appropriate, in accordance with the provisions set forth in K.S.A. 77-

537(a), in that the use of summary proceedings does not violate any provision of law, and the

protection of the public interest does not require the Board to give notice and opportunity to

participate to persons other than Licensee.

IT IS HEREBY ORDERED that Licensee is PUBLICLY CENSURED for her

violations of the Kansas Physical Therapy Practice Act.

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PLEASE TAKE NOTICE that upon becoming effective as a Final Order, this document shall be deemed a public record and be reported to any reporting entities authorized to receive such disclosure.

Dated this 5th day of Quest 2021.

KANSAS STATE BOARD OF HEALING ARTS

Tucker L. Poling
Executive Director

#### **FINAL ORDER NOTICE OF RIGHTS**

PLEASE TAKE NOTICE that this is a Final Order. A Final Order is effective upon service. A party to an agency proceeding may seek judicial review of a Final Order by filing a petition in the District Court as authorized by K.S.A. 77-601, *et seq*. Reconsideration of a Final Order is not a prerequisite to judicial review. A petition for judicial review is not timely unless filed within 30 days following service of the Final Order. A copy of any petition for judicial review must be served upon Tucker L. Poling, Executive Director, Kansas Board of Healing Arts, 800 SW Jackson, Lower Level-Suite A, Topeka, KS 66612.

#### **CERTIFICATE OF SERVICE**

I, the undersigned, hereby certify that a true copy of the foregoing **FINAL ORDER** was served this 24<sup>th</sup> day of August 2021 by depositing the same in the United States Mail, first-class, postage prepaid, and addressed to:

Carrissa D. Shaw, P.T.A.

Licensee

And a copy was hand-delivered to:

Matthew Gaus Associate Litigation Counsel Kansas State Board of Healing Arts 800 SW Jackson, Lower Level-Suite A Topeka, Kansas 66612

Compliance Coordinator Kansas State Board of Healing Arts 800 SW Jackson, Lower Level-Suite A Topeka, Kansas 66612

Office of the General Counsel Kansas State Board of Healing Arts 800 SW Jackson, Lower Level-Suite A Topeka, Kansas 66612

And the original was filed with the office of the Executive Director.

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- Restricted delivery service, which provides delivery to the addressee specified by name, or to the addressee's authorized agent.
  - Adult signature service, which requires the signee to be at least 21 years of age (not available at retail).
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IMPORTANT: Save this receipt for your records.

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Laura Kelly, Governor

800 SW Jackson, Lower Level-Suite A Topeka, KS 66612 105-00

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